



Command and General Staff College Foundation, Inc.
www.cgscfoundation.org

Annual Report 2010





General David H. Petraeus

“The Command and General Staff College has, for [more than 130] years, done a magnificent job of educating commanders and staff officers to lead America’s sons and daughters in peace and war. In so doing, it has become one of our military’s most respected centers of professional military study and inquiry. In recent years, in particular, it has served as one of our Army’s most important agents of change as our military has adapted to the demands of wars very different from those on which we had focused our training and education. The CGSC is, in short, a true national asset, one that has served our Nation and our Army exceedingly well.”

**Gen. David H. Petraeus
*Commander, International Security
Assistance Force – Afghanistan***

Our Vision

The CGSC Foundation's vision is to become a supporting organization that is widely recognized as the national leader in membership, programs, innovation, and support to the U.S. Army Command and General Staff College to advance its core mission of educating leaders for the challenges of the 21st century.



Our Mission

The mission of the CGSC Foundation is to support CGSC in educating leaders for the 21st century in the following six mission areas:

- Enrich the College's academic environment
- Foster a strong relationship between the military and the private sector
- Enhance the institution's research activities
- Promote leader development
- Encourage excellence in the faculty and student body
- Maintain contact with alumni

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From the Chief Executive Officer



A banner year

Col. Bob Ulin

U.S. Army, Ret.

The Command and General Staff College Foundation experienced unprecedented success in 2010. We established the Colonel Arthur D. Simons Center for the Study of Interagency Cooperation, co-sponsored the Summit on Expeditionary Economics in Kansas City with the Ewing Marion Kauffman Foundation, and witnessed passage of the 5-Star Generals Commemorative Coin Act. How could this be such a big deal you might ask? Allow me to explain.

Thanks to the generosity of Ross Perot we established the Simons Center. This new center helps advance the agenda of promoting better interagency cooperation that was initiated by our previous Commandant William B. Caldwell, IV. In January we hired retired U.S. Army Major General Ray Barrett as the Center's Chief Operating Officer, to stand up the center. We leased space in the Armed Forces Insurance building on Fort Leavenworth to remain accessible to the Foundation headquarters and the College. In May we hired retired U.S. Foreign Service Minister-Counselor Ted Strickler, as the Center's first Executive Director. Before year's end, the Simons Center created a first class advisory council, began development of a co-sponsored initiative with the U.S. Institute for Peace, published two interagency essays, one interagency paper and its first interagency journal; altogether a remarkable achievement for this new organization. It is clear that the vision of General Caldwell coupled with the generosity of Ross Perot under the management of your foundation are making a positive impact.

Dr. Carl Schramm, President of the Ewing Marion Kauffman Foundation invited our foundation to join his organization as co-sponsor of the first Entrepreneurship and Expeditionary Economics Summit in Kansas City in May 2010. Several professors from CGSC delivered papers, CGSC Commandant Lt. Gen. Robert Caslen delivered a keynote address on the importance

of economic development in Iraq and Gen Kip Ward, Commander, U.S. Africa Command, discussed the economic needs in his area of responsibility. The Foundation issued a special report and the Kauffman Foundation published a book of papers delivered at the summit.

After two and a half years of plugging and chugging in the halls of Congress, we gathered the necessary two-thirds sponsors in the House and the Senate to secure passage of the 5-Star Generals Commemorative Coin Act. We had plenty of detractors who said we could not get this done in the divided 111th Congress, but in the end, with the determination of Kansas Senators Pat Roberts and Sam Brownback, Kansas Representatives Dennis Moore, Lynn Jenkins and Jerry Moran, along with Representative Leonard Boswell from Iowa, we prevailed with broad bi-partisan support. President Obama signed the bill into law just days before the end of the 111th Congress. If all coins authorized by this legislation are sold in 2013 (the year the coins become available for sale), it could generate upwards of \$10 million for our Foundation.

In the end, YOU, our donors, enabled us to achieve this remarkable success. Without YOUR support we would not have the resources to bring these objectives online. It takes a dedicated and experienced staff to plan and execute a deliberate fundraising campaign, to develop and execute programs and activities for the College and to recognize and support those who sustain us. But YOU enable us to make all of this happen.

We thank you for your confidence in our abilities and your financial and moral support. Together we have established a highly successful foundation that is providing the *Margin of Excellence* for the U.S. Army Command and General Staff College. YOUR support, no matter how small sustains us and fuels our ability to make the CGSC Foundation into a world-class supporting foundation.

Foundation Staff

CGSC Foundation, Inc.

The Col. Arthur D. Simons Center for the Study of Interagency Cooperation



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Maj. Gen., U.S. Army, Ret.
Chief Operating Officer



Maria Koreckij

*Director of Finance and
Administration*



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Program Assistant



Jennifer Ayre

Manager of Communications

Part Time Contract Support

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Leavenworth, Kansas

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Alexandria, Virginia

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JNJTech.Net
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Mark H. Wiggins
MHW Public Relations & Communications
Leawood, Kansas

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Mr. Eugene R. Wilson, Senior Advisor, Council on Foundations [KS]

Note: [] is the state of residence

Activities and Programs

As outlined in the mission statement, the CGSC Foundation supports the U.S. Army Command and General Staff College by operating in support of six mission areas:

- Enriching the College's academic environment
- Fostering a strong relationship between the military and the private sector
- Enhancing the institution's research activities
- Promoting leader development
- Encouraging excellence in the faculty and student body
- Maintaining contact with alumni

Enriching the College's academic environment

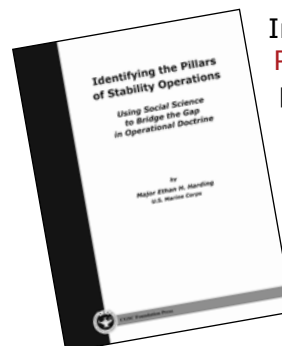
On April 21, 2010, the Foundation opened the doors of the **Col. Arthur D. Simons Center for the Study of Interagency Cooperation**. The Simons Center provides a research capability and publishing outlet for CGSC faculty and students and has made great headway in the interagency community with its publications and activities.



The Foundation **cohosted the Summit on Entrepreneurship and Expeditionary Economics** in May 2010 with the Kauffman Foundation to explore the concept of "expeditionary economics." The audience included a wide array of experts from government and non-government organizations. The Foundation published a *Special Report* on the summit in Fall 2010.



The Foundation assists the College with **attracting special guest speakers** such as **Ross Perot** who spoke as part of the **Collin L. Powell Lecture Series** in April 2010.



In 2010 the **CGSC Foundation Press** continued to provide a publishing outlet to deserving works of research for faculty and students of the college. The first book published was a student's award-winning Masters thesis and others are in the pipeline for printing this year.

Fostering a strong relationship between the military and the private sector



Potentially one of the most significant events in the CGSC Foundation's history is the **5-Star Generals Commemorative Coin Act** being signed into law by the President on Oct. 8, 2010. To put the importance of this bill in perspective, no other staff or war college foundation in history has ever secured a congressional coin bill, yet the CGSC Foundation accomplished the feat just five years after incorporating. Proceeds from the sale of the coins will not only help the CGSC Foundation fund programs and promote excellence in the faculty and students of CGSC, but also help increase the visibility of the significance of CGSC in our nation's history and in it's future.



In 2010, the CGSC Foundation continued its sponsorship of a special lecture series at the Kansas City Public Library to bring CGSC participation into the library's Special Events program. In recent months, the Foundation has helped sponsor "The Great Commanders Series" with presentations by historians from CGSC's Department of Military History.



The CGSC Foundation continued in its role of assisting the U.S. Army Command and General Staff College in hosting the **National Security Roundtable Series** program. The Foundation assisted with two NSRT events in 2010, one focused on Afghanistan and the other on Africa.

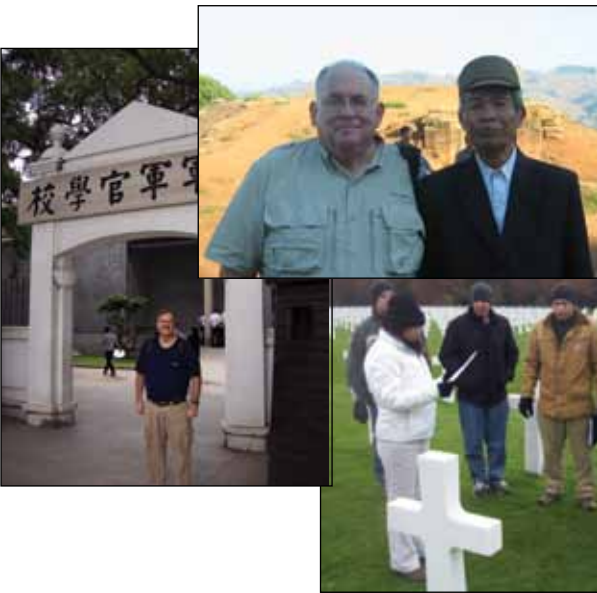


In 2010, the CGSC Foundation assisted in **relationships and partnerships** with Kansas State University, University of Kansas, and Business Executives for National Security (BENS) to help bring educational programs to Fort Leavenworth and the greater Kansas City community. Program engagement includes Homeland Security Education programs, Wounded Warrior Education programs, engagement with Pre-Command and CGSS courses at CGSC along with educational presentations to the greater community.

Enhancing CGSC's research activities



With the opening of the Simons Center in 2010, the Foundation now offers CGSC and the larger interagency community a new capability for fostering and developing an interagency body of knowledge while facilitating broader and more effective cooperation within the United States Government at the operational and tactical levels through study, research, analysis, publication and outreach. The Center's publications includes the *InterAgency Essay* series, *InterAgency Paper* series and its premier publication the *InterAgency Journal*. These publications, along with the Center's new web site, TheSimonsCenter.org, have helped the Simons Center begin making a name for itself in only its first year of operations.



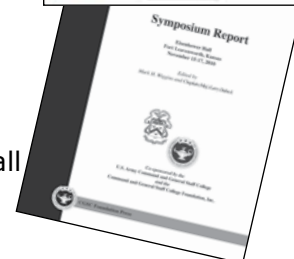
In 2010 the CGSC Foundation provided support for faculty research in Vietnam and China for two faculty members and two staff rides in Europe for CGSS students. Opportunities such as these for faculty and students are critical components of maintaining a first class faculty and body of professional knowledge for the College.



Promoting leader development



Again in 2010, the CGSC Foundation, with generous assistance from Flint Hills Resources as a strategic partner, cosponsored the second annual Fort Leavenworth Ethics Symposium in 2010 with CGSC. Support included continuing administration of a first class website for the symposium along with the printing and distribution of a "Symposium Report" which includes all of the formal papers submitted for the symposium. Planning for the 2011 symposium is underway.



Maintaining contact with alumni



The CGSC Foundation publishes the CGSC **Foundation News** twice annually to report news about the College and the activities and programs supported by the Foundation. It also serves to connect with the alumni and to educate the civilian community and other constituencies. The CGSC Foundation also **hosts the CGSC Alumni Outreach web site**. The alumni outreach site provides a online community for alumni of all the schools in CGSC (CGSS, SAMS, CAS3). Prospective members of the site may go to www.cgscfoundation.org and click on "Alumni Outreach" or navigate directly to <http://alumnioutreach.cgscfoundation.org> to get connected.



Encouraging excellence in the faculty and students



For each graduating class the CGSC Foundation **sponsors several awards** that recognize and encourage excellence in the faculty and students. The CGSC Foundation also participates in recognizing newly inducted members into the Fort Leavenworth International Hall of Fame. To contribute to the encouragement of excellence the **Simons Center also conducts student and faculty writing competitions** and recognizes the winning authors in ceremonies conducted periodically throughout the year.



Supporting family programs that enhance the lives of students, faculty and staff



Each year the CGSC Foundation **sponsors several programs that enhance the quality of life for Soldiers and their families at Fort Leavenworth**. This includes programs and events such as the children's summer reading program, Spouses



Night Out, Unaccompanied Nights Out, the Covey Couples Seminar, and Fun Shoots at the post shooting range, among many others.

From the Treasurer

Statement of Financial Position

Brig. Gen. William A. West
U.S. Army, Ret.



I am pleased to report that our Foundation has weathered a very difficult year in 2010 for philanthropic giving and remained strong as we continued to fund programs which are in accordance with our goals and mission. While most not-for-profit organizations saw significant decreases in their total assets over the past three years, our Foundation has remained strong overall. This is not to say we have not felt the impact of 2010's continued economic difficulties. We saw our total assets decrease this past year by 6.09%, principally due to a decrease in overall giving caused by the economic upheaval. Also, the huge gift from the Perot Foundation, which we booked in 2009, skewed our balance sheet because we had to count the entire gift as a one year receivable. However, we are able to count the bulk of that gift as an investment among our current assets. Our investment portfolio, under the management of Country Club Trust Company, earned a respectable 8.5% yield in 2010. But at year's end our total Foundation assets were off \$450,416, or 6.09%.

We continue to manage our investments in both long and short term instruments. We keep low amounts of operating money and invest the residual in short term CDs and money market accounts which provides us with the capability to have a ready supply of operating capital, but also draw interest on our operating capital. Our long term investments continue to be a balance between fixed income instruments and equities with

a judicious mix of US and global investments. This has served us well and while it may be a conservative approach it is in line with our philosophy of protecting our assets while working to make them grow at a safe and sound rate.

Our expenses did increase marginally in 2010. Fundraising expenses increased \$4,708 and program expenses increased by \$484,521. This includes both direct and indirect program expenses for the College and the Simons Center. The good news about our overall expenses is the way we have controlled our general and administrative expenses (G & A) as a part of the overall Foundation expenses. In 2009, our G & A expenses were 29% and although that is well within the peer range monitored by the IRS, we operated this past year at 21.5%. Overall the Foundation operated in a fiscally responsible manner in 2010.

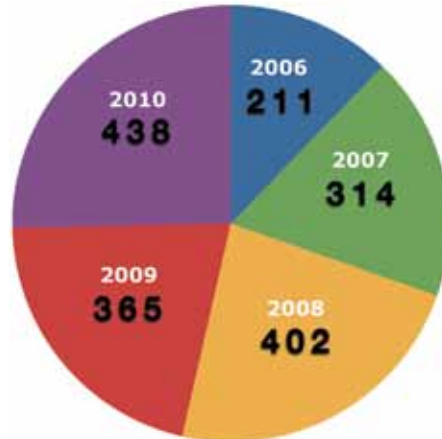
Once again in 2010 we received a clean audit with only minor recommendations in the Management Letter. We submitted our IRS Form 990 on time thus completing our financial review for the year.

It is hard to say what the future will hold for the economic viability of the Foundation, but one thing is very clear, we are subject to the whims of the national economy and the impact that has on philanthropy. It is important that we, as a community, not lose site of the importance of the US Army Command and General Staff College and our Foundation's commitment to its own mission of supporting the College.

2010 Financial Report

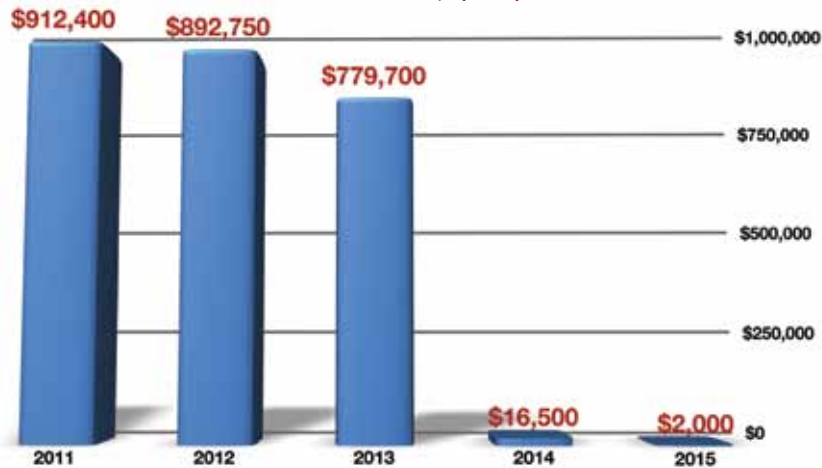
(Source: CGSC Foundation, Inc., IRS Form 990, 2010)

Number of Donors



Pledges Outstanding

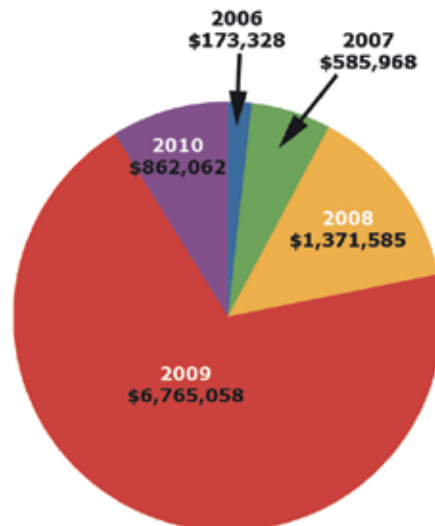
Total = \$2,603,350



Total Support

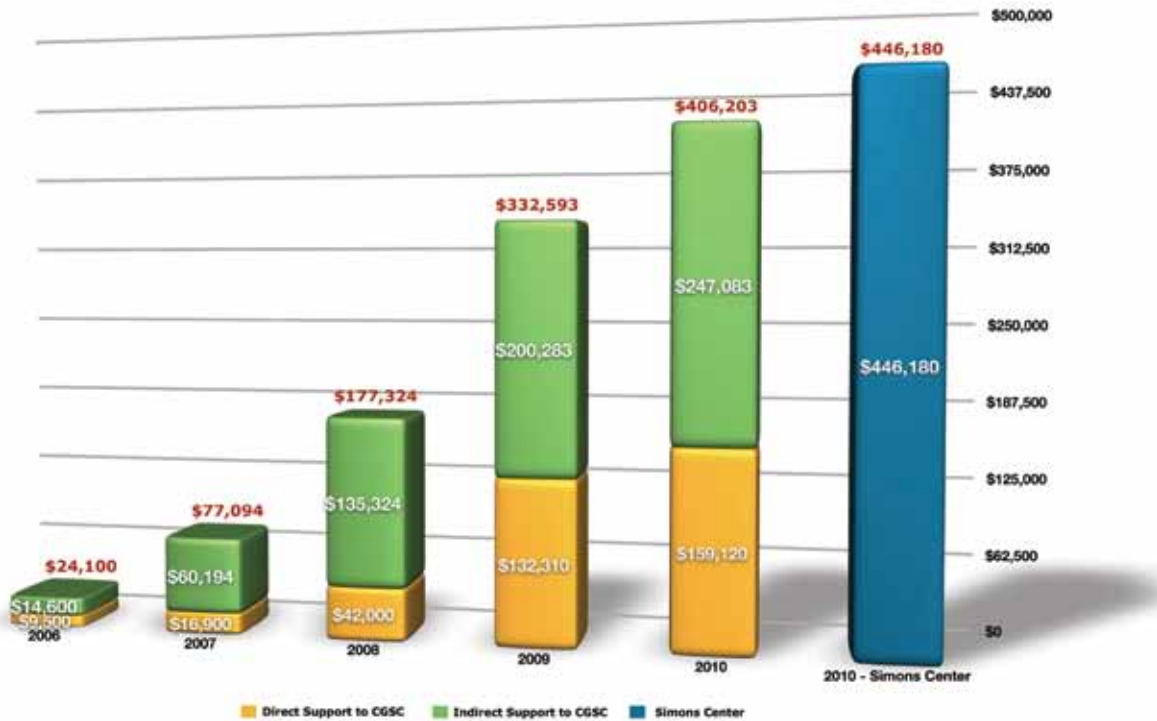
Cash, pledges & gross receipts from business operations

Total = \$9,758,001



CGSCF Foundation Program Support

Total = \$1,463,494



Direct Support: "Direct Support" funds are the funds the foundation board allocates to the College to support programs and activities not covered by the College's appropriated funds.

Indirect Support: "Indirect Support" funds are the funds spent by the foundation that support community outreach (telling the Army's and the College's story), alumni outreach, and other programs and activities that support directly the Commandant's priorities.

Note: The new Col. Arthur D. Simons Center for the Study of Interagency Cooperation supports scholarship at the Command and General Staff College.

CGSCF Foundation Balance Sheet 2010

| ASSETS | |
|-------------------------------------|--------------------|
| CURRENT ASSETS | |
| Cash | \$1,289,715 |
| Investments | \$3,083,465 |
| Receivables | \$2,425,072 |
| Other Current Assets | \$62,940 |
| ASSETS TOTAL | \$6,861,192 |
| Fixed Assets | \$78,590 |
| TOTAL ASSETS | \$6,939,782 |
| LIABILITIES AND EQUITY | |
| Current Liabilities | \$38,510 |
| Equity | \$6,901,272 |
| TOTAL LIABILITIES AND EQUITY | \$6,939,782 |



CGSC Foundation 2010 Annual Report

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\$1 million and above

Perot Foundation

Chairman's Council

\$50,000 and above

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 Breidenthal-Snyder Foundation, Inc.
 Flint Hills Resources, LP
 JE Dunn Construction Company
 Ewing Marion Kauffman Foundation
 Pioneer Financial Services
 TriWest Healthcare Alliance

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 Faultless Starch/ Bon Ami

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 Brownell Family Foundation
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 Mobile Reasoning
 MPRI
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 Superior Lexus
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Note: All annual donations accumulate towards the various levels of LIFE CONSTITUENCY

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